# **Three properties of relative shape envelopes of molecular electron density contours**

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**Summary.** The relative shapes of molecular electron density contour surfaces (MIDCO's), and various molecular shape constraints in solvent-solute interactions, in external electromagnetic fields and within enzyme cavities, are representable by electron density T-hulls, introduced earlier. Three general properties of T-hulls are proven, serving as the justification of a recently proposed computational scheme of molecular similarity measures.

**Key words:** Relative shape envelopes - Electronic densities - Solvent - solute interactions  $-$  T-hulls

## **1 Introduction**

The concept of  $\alpha$ -hull has been introduced by Edelsbrunner et al. [1] as a generalization of convexity. The T-hull, introduced recently  $[2]$ , can be regarded as a generalization of the  $\alpha$ -hull, hence, as a further generalization of the convex hull. The chemical relevance of T-hulls lies in their role as tools for shape analysis of electronic densities  $\lceil 3 \rceil$ , as the basis of molecular similarity measures, and as mathematically precise representations of solvent contact surfaces of molecules [4]. By the introduction of the MEDLA method for *ab initio* quality electron density computations for proteins and other large molecules [5-7], the role of computational shape analysis methods designed for molecular applications [8] is expected to increase.

In Ref. [1], the introduction of two-dimensional  $\alpha$ -hulls has been based on the concept of *generalized disc of radius*  $1/\alpha$ , defined as a disc of radius  $1/\alpha$  if  $\alpha > 0$ , the complement of a disc of radius  $-1/\alpha$  if  $\alpha < 0$ , and a half-plane if  $\alpha = 0$ . The  $\alpha$ -hull  $\langle S \rangle_{\alpha}$  of a point set S in the plane has been defined as the intersection of all closed generalized discs of radius  $1/\alpha$  which contain S.

Following the description in [3], the three-dimensional case is entirely analogous. A *generalized ball of radius*  $1/\alpha$  is defined as a ball of radius  $1/\alpha$  if  $\alpha > 0$ , as the complement of a ball of radius  $-1/\alpha$  if  $\alpha < 0$ , and as a half-space if  $\alpha = 0$ . The  $\alpha$ -hull  $\langle S \rangle_{\alpha}$  of a finite point set S in a 3D Euclidean space is defined as the intersection of all closed generalized balls of radius  $1/\alpha$  which contain S.

The  $\alpha$ -hull  $\langle S \rangle_{\alpha}$  of S is a "curvature-biased" shape representation of S, using the specific curvature value  $\alpha$ . For a finite point set S (for example, for the collection of

nuclei in a specific configuration) and for a sufficiently small negative value of  $\alpha$ , the  $\alpha$ -hull  $\langle S \rangle_{\alpha}$  of S is the finite point set S itself. In the special case of  $\alpha = 0$ , the  $\alpha$ -hull  $\langle S \rangle_{\alpha}$  of set S is the ordinary convex hull  $\langle S \rangle$  of S. According to the usual convention, the empty intersection is regarded as the entire space, consequently, the  $\alpha$ -hull of any set S exists for any  $\alpha$  value.

The T-hull of both discrete point sets and continua has been introduced [2] as a generalization of the convex hull with respect to a reference object T. Within the chemical context, a shape characterization of the molecular electronic density of a molecule A in terms of its T-hull defined by an electronic density contour of another molecule B serves as a direct shape comparison of molecules A and B, and also as a "B-biased" shape representation of molecule A.

Following the original definition  $[2]$ , consider an arbitrary, bounded and closed, three-dimensional set  $T$ , and regard it as a reference object. Using  $T$ -hulls, the shapes of various other objects  $S$  are described relative to the reference object  $T$ .

If a reference object  $T$  (for example, a molecular isodensity contour surface, MIDCO, of a molecule B) is selected, then any set obtained by translation and rotation of T is called a *version* of T. Some motions may be excluded, for example, the test object  $T$  may be required to fulfill some orientation constraints; in such cases a version of  $T$  is a set obtained from  $T$  by translation.

The *T-hull*  $\langle S \rangle_T$  of a point set *S* has been defined [2] as the intersection of all rotated and translated versions of T which contain set S. If no version  $T<sub>v</sub>$  of  $T$  contains  $S$  then the  $T$ -hull of  $S$  is the empty intersection, interpreted as the full space. Consequently, the T-hull  $\langle S \rangle_T$  exists for every set S and for every reference object  $T$ . Evidently, the  $T$ -hull of a set  $S$  depends on the shapes of both objects, S and  $T$ , more specifically, on the relative shapes of  $S$  and  $T$ .

In some applications, for example, in solvent contact surface analysis, the closure clos( $E^3(T)$ ) of the relative complement  $E^3(T)$  of T is required. Following the notation used in  $\lceil 2-4 \rceil$ , the expression  $-T$  stands for the closure of the relative complement of T:

$$
-T = \operatorname{clos}(E^3 \backslash T). \tag{1}
$$



Fig. 1. A two-dimensional example of an object S, reference object  $T$ , the inclusion relations of S for two versions,  $T_p$  and  $T_p$ , of T, and the actual T-hull  $\langle S \rangle_{\tau}$  of S

By analogy with  $\alpha$ -hulls of negative  $\alpha$  values, the set ( - T) can also be chosen as a reference object.

In order to aid the visualization of properties of T-hulls, a two-dimensional example is given in Fig. 1.

#### 2 **Three identities for** T-hulls

The first identity we prove is a simple generalization of an elementary property of convex hulls: for any set S the convex hull  $\langle \langle S \rangle$  of the convex hull  $\langle S \rangle$  is the convex hull  $\langle S \rangle$ , i.e.,

$$
\langle \langle S \rangle \rangle = \langle S \rangle. \tag{2}
$$

Clearly, the convex hull is already convex.

For T-hulls the analogous relation applies. The theorem and its proof given below are valid in all finite dimensions n.

**Theorem 1.** For any set S and reference set T, the T-hull  $\langle \langle S \rangle_T \rangle_T$  of the T-hull  $\langle S \rangle_T$ *is the T-hull*  $\langle S \rangle_T$ :

$$
\langle \langle S \rangle_T \rangle_T = \langle S \rangle_T. \tag{3}
$$

*Proof.* According to the definition of T-hulls,  $\langle S \rangle_T$  contains S, hence, each version  $T<sub>n</sub>$  of T that contains  $\langle S \rangle_T$  also contains S:

$$
T_v \supset \langle S \rangle_T \quad \Rightarrow \quad T_v \supset S. \tag{4}
$$

Let us denote the family of all such versions  $T_v$  by  $V_1$ . The intersection of all sets in  $V_1$  is  $\langle \langle S \rangle_T \rangle_T$ .

We show now that each version  $T_{v'}$  of T that contains S also contains  $\langle S \rangle_T$ . Since  $T_{n'} \supset S$ , this version  $T_{n'}$  must occur in the intersection defining  $\langle S \rangle_T$ , consequently,  $T_{n'} \supset \langle S \rangle_T$ :

$$
T_{v'} \supset S \quad \Rightarrow \quad T_{v'} \supset \langle S \rangle_T. \tag{5}
$$

Let us denote the family of all such versions  $T_{v}$  by  $V_2$ . The intersection of all sets in  $V_2$  is  $\langle S \rangle_T$ .

Since the two implications (4) and (5) are inverses of each other, the two sets  $V_1$  and  $V_2$  must agree:

$$
V_1 = V_2 = V. \tag{6}
$$

The intersection of all sets in V is both  $\langle \langle S \rangle_T \rangle_T$  and  $\langle S \rangle_T$ , consequently,

$$
\langle \langle S \rangle_T \rangle_T = \langle S \rangle_T. \quad \Box \tag{7}
$$

The assertion of this theorem corresponds to the rhyme "the T-hull of the T-hull is the T-hull".

The T-hull  $\langle S \rangle_T$  itself can be used as a reference set. In such a case, a different rhyme applies: "the  $T$ -hull-hull is the  $T$ -hull". We prove this below. This theorem and its proof are also valid in all finite dimensions n.

**Theorem 2.** For any set S and reference set T, the T-hull  $\langle S \rangle_T$  of set S is the  $\langle S \rangle_T$ -hull  $\langle S \rangle_{\langle S \rangle_T}$  of S, obtained with the T-hull  $\langle S \rangle_T$  as reference set:

$$
\langle S \rangle_{\langle S \rangle_T} = \langle S \rangle_T. \tag{8}
$$

*Proof.* By the definition of T-hulls,  $\langle S \rangle_{\langle S \rangle_T}$  is the intersection of all versions  $(\langle S \rangle_T)_{v'}$  of  $\langle S \rangle_T$  which contain S.

(i) First we show that  $\langle S \rangle_{\langle S \rangle_T}$  is an intersection of some versions  $T_{v''}$  of T which contain S.

By the definition of T-hulls, set  $\langle S \rangle_T$  is the intersection of all versions  $T_v$  of T which contain S:

$$
\langle S \rangle_T = \bigcap_v T_v. \tag{9}
$$

Consequently, each version  $(\langle S \rangle_T)_{v'}$  which contains S is also an intersection of some versions  $T_{v''}$  of T which contain S. Since  $\langle S \rangle_{\langle S \rangle_T}$  is the intersection of all versions  $(\langle S \rangle_T)_{v'}$ , which contain S, the set  $\langle S \rangle_{\langle S \rangle_T}$  must be the intersection of some versions  $T_{v''}$  of T which contain S:

$$
\langle S \rangle_{\langle S \rangle_T} = \bigcap_{v'} (\langle S \rangle_T)_{v'} = \bigcap_{v'} (\bigcap_{v''} T_{v''})_{v'} = \bigcap_{v'''} T_{v'''}.
$$
 (10)

(ii) We show that the families of sets T<sub>y</sub> and T<sub>y'</sub>, in the intersections of Eqs. (9) and (10) are the same.

(a) Since  $\langle S \rangle_T$  itself can be chosen as a version  $(\langle S \rangle_T)_{v'}$  which contains S, for each version  $T<sub>v</sub>$  of Eq. (9),

$$
T_v \supset \langle S \rangle_T \supset \langle S \rangle_{\langle S \rangle_T},\tag{11}
$$

must hold. Hence, each  $T_v$  of Eq. (9) is one version of T that contains  $\langle S \rangle_{\langle S \rangle_T}$ . Consequently, for each version  $T<sub>v</sub>$  of Eq. (9) there must exist a version  $T<sub>v</sub>$ , of the intersection in the far right of Eq. (10) such that

$$
T_{v^{\prime\prime\prime}} = T_v. \tag{12}
$$

(b) Since for each version 
$$
T_{v''}
$$
 of the intersection in Eq. (10)

$$
T_{v^{\prime\prime\prime}} \supset \langle S \rangle_{\langle S \rangle_T} \supset S \tag{13}
$$

must hold, each  $T_{v''}$  of Eq. (10) is one version of T that contains S. Consequently, for each version  $T_{v''}$  of Eq. (10) there must exist a version  $T_v$  of the intersection in Eq. (9) such that

$$
T_v = T_{v''}.\tag{14}
$$

Consequently, the intersections  $\bigcap_{v} T_{v}$  and  $\bigcap_{v} T_{v}$  are the same, hence

$$
\langle S \rangle_{\langle S \rangle_T} = \langle S \rangle_T. \quad \Box \tag{15}
$$

Another important property of T-hulls is a formal "shape quantization" effect, whenever a set S is transformed into its  $T$ -hull. This "shape quantization" is based on the following simple result.

**Theorem 3.** For any set S, reference set T, and set S' fulfilling the condition

$$
\langle S \rangle_T \supset S' \supset S,\tag{16}
$$

*the two T-hulls*  $\langle S \rangle_T$  *and*  $\langle S' \rangle_T$  *are the same:* 

$$
\langle S' \rangle_T = \langle S \rangle_T. \tag{17}
$$

*Proof.* By the definition of T-hulls,  $\langle S \rangle_T$  is the intersection of all versions  $T_v$  of T which contain S. Let us denote the family of all these  $T<sub>v</sub>$  versions by V. For each of these versions,

$$
T_v \supset \langle S \rangle_T \supset S'.\tag{18}
$$

Consequently, each version  $T<sub>v</sub>$  from the family V participates in the intersection of versions of T containing S' and defining  $\langle S' \rangle_T$ . There exists no additional version  $T_{v'}$  containing S' and not present in the family V, since if a version  $T_{v'}$  contains S' then according to relation (16) it must also contain S, hence  $T_{v'}$  must be present in family V. Consequently,  $\langle S' \rangle_T$  is the intersection of all sets in family V, hence  $\langle S' \rangle_T = \langle S \rangle_T$ .  $\Box$ 

Theorem 3 and its proof are valid in all finite dimensions *n*.

This result implies that for an entire continuum of sets  $S'$ , where the condition  $\langle S \rangle_T$   $\supset$  S'  $\supset$  S holds, the T-hulls are invariant. By a continuous change of set S into  $\langle S \rangle_T$ , all intermediate sets S' have the constant T-hull  $\langle S \rangle_T$ , as long as none of these sets S' "hangs out" from the T-hull  $\langle S \rangle_T$  of the initial set S.

Note that Theorem 3 can be regarded as a generalization of Theorem 1: by taking  $S' = \langle S \rangle_T$  in Theorem 3, the statement of Theorem 1 follows.

### **3 Comments and closing remarks**

For molecular shape analysis problems with some orientation constraints, for example, if an external electric field is applied on polar molecules, the oriented T-hull approach has been proposed [2, 3]. In such cases, only those versions  $T<sub>v</sub>$  of the reference set  $T$  are included in the intersections which fulfill the appropriate orientation constraints. For example, using the most severe orientation restriction by disallowing rotation, only translated versions of the reference set  $T$  are used in the intersections.

Alternatively, one may include reflected versions of the reference set  $T$  besides the translated and rotated versions; for chiral reference sets this implies that a larger family of versions is considered in the intersections  $[2, 3]$ .

For any of these alternatives, Theorems 1-3 apply, with the same proofs as given above, where in each case the versions of the reference set  $T$  from the restricted or enlarged families are used throughout.

If both objects  $S$  and  $T$  are selected as molecular isodensity contour surfaces (MIDCO's), then the T-hulls can be regarded as "relative shape envelopes" of molecular electron density contours. Usually, T-hulls show less shape detail than the original MIDCO S, and the T-hulls of two different molecules are often more similar than their individual MIDCO's themselves. This suggests a shape classification by T-hulls, where MIDCO's of two different molecules are regarded T-similar if the T-hulls of the two MIDCO's show equivalent shape features, for example, common shape groups [3]. Note that common shape groups for the T-hulls are possible even if the shape groups of the two MIDCO' do not agree.

Within a chemical context, T-hulls have been proposed for modeling *solvent contact surfaces* in the shape analysis of solvent-solute interactions [4]. In this model, the T-hull  $\langle S \rangle_T$  of a solute electron density level set S is generated with respect to a reference object T where  $(-T)$  is taken as an electron density level set of the solvent molecule. Theorem 3 implies that if the solute S undergoes some limited shape change in a conformational process and takes up a new form S', then the solvent contact surface  $\langle S' \rangle_T = \langle S \rangle_T$  remains invariant as long as  $\langle S \rangle_T \supset S'$ . *The entire continuum of conformationaI changes and the associated electron density shape changes* within the range  $\langle S \rangle_T \supset S' \supset S$  belong to the single, constant T-hull  $\langle S \rangle_T$ , i.e., to the *single*, constant solvent contact surface  $\langle S \rangle_T$ .

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